

Ultrasonic Piezoelectric Transformers for Power Conversion

PROPRIETARY INFORMATION

Ultrasonic Piezoelectric Transformers for Power Conversion



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April 22, 2013

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Introduction to MMech

- Company Name: Micromechatronics, Inc.
- Head Office: State College, PA 16803
- Founded: October 1st, 2004
- Roots: Spin off Int. Center for Actuators and Transducers at PSU
- web: www.mmech.com
- Spin-offs: M-Mech Defense, Inc. (2009)

Product Portfolio

Design Software	Motion Control	Energy Conversion	Industrial Equipment
ATILA FEA Software, GID Pre/Post Processor	Piezo Elements, Actuators, Stages, Ultrasonic Motors	Piezo Generators, Piezo Transformers	Ultrasonic Cleaning, Cutting, Measuring



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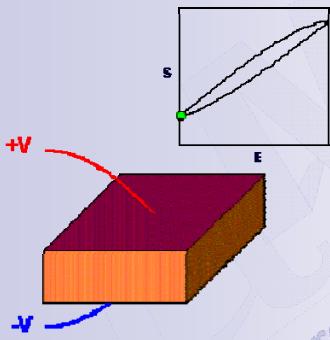
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Piezoelectric Transformers: Background

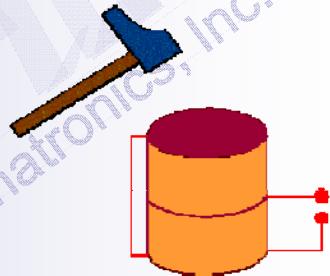
Piezoelectricity - Basics

INVERSE PIEZOELECTRIC EFFECT



Electrical to Mechanical
energy conversion

DIRECT PIEZOELECTRIC EFFECT



Mechanical to Electrical
energy conversion



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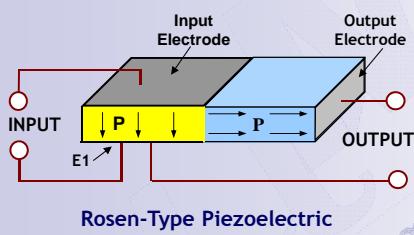
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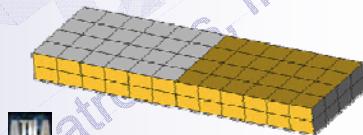
Piezoelectric Transformers: Background

What a Piezoelectric Transformer is?

A piezoelectric transformer is an energy conversion solid state device that uses an acoustic vibration to transfer/convert electrical-to-electrical energy at different voltage levels using piezoelectric materials. A standing wave at one of the resonant modes of the transformers excites the mechanical vibration.



Rosen-Type Piezoelectric
Transformer



Operation of a "Lambda/2"
Piezoelectric Transformer

The first ceramic Piezoelectric Transformer was developed by Charles A. Rosen and Keith Fish in 1954.



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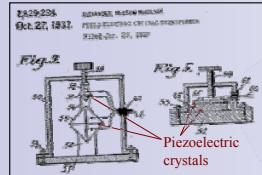
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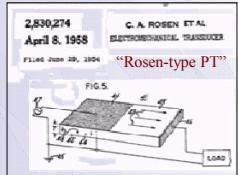
Piezoelectric Transformers: Background

Historical Background - High Voltage conversion

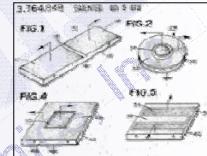
1927 First Studies. Alexander M. Nicolson proposed some basic PTs using Rochelle salt crystals.



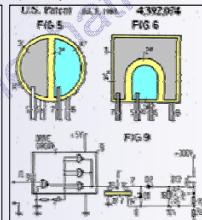
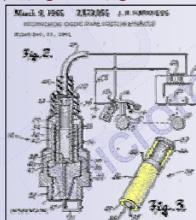
1954 Rosen-PT. First Piezo "Ceramic" Transformer.



1960 New Designs. H.Jaffe, D.A.Berlincourt followed w/ new designs (ex. Unipoled radial and contour-mode PTs)



70-80s First Applications (TV, ignition, gate drivers, etc)



90s CCFL Backlighting



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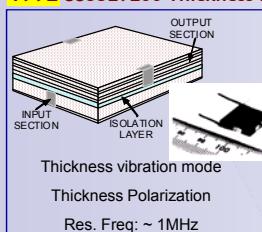
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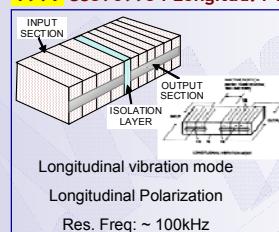
Piezoelectric Transformers: Background

Historical Background - "Step-down" Power PTs

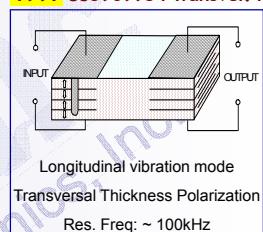
1992 US5329200 Thickness PT.



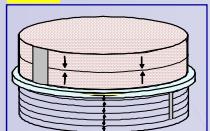
1997 US5969954 Longitud. PT.



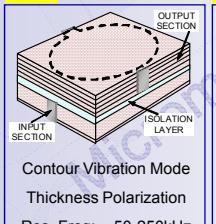
1997 US5969954 Transver. PT.



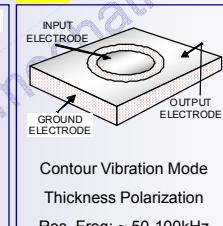
1996 Radial Mode



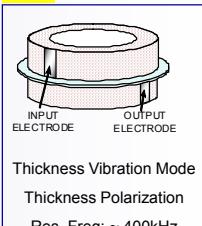
1997 Contour Mode



1998 Contour Mode



1998 Thickn Mode



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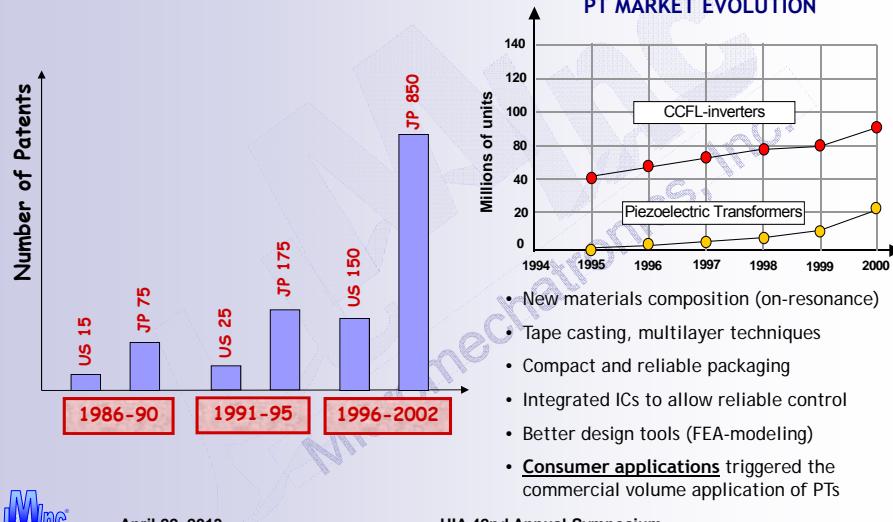
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Piezoelectric Transformers: Background

Historical Background



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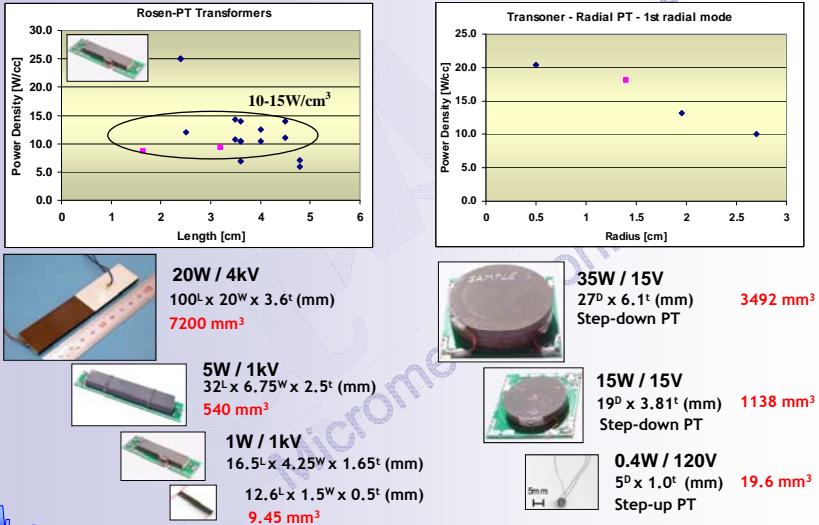
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Piezoelectric Transformers: Background

Technology Survey - State of the Art Technology

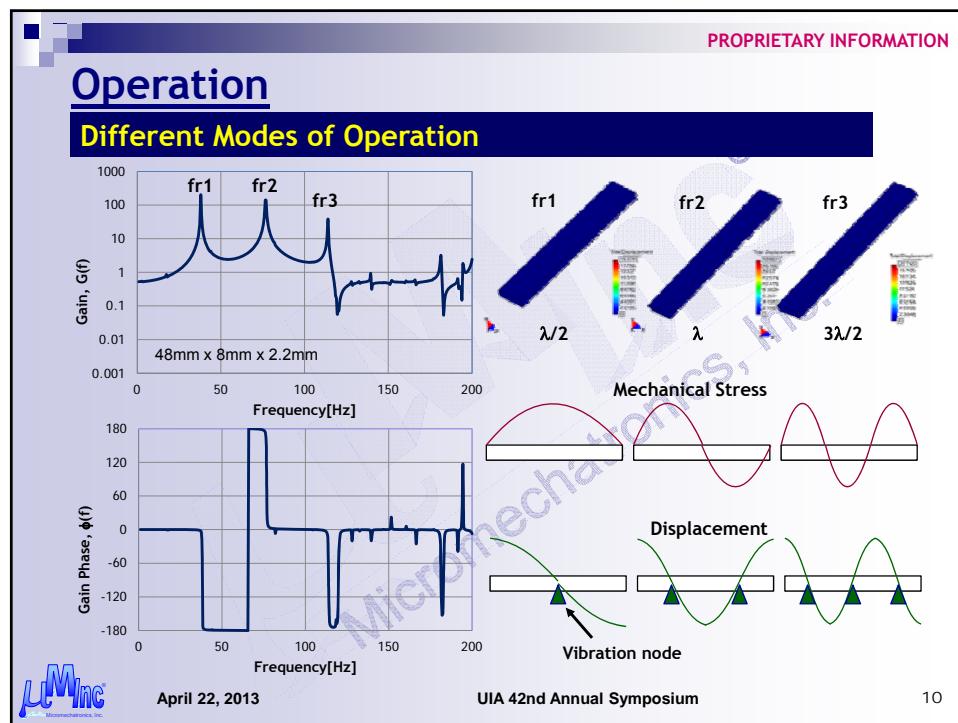
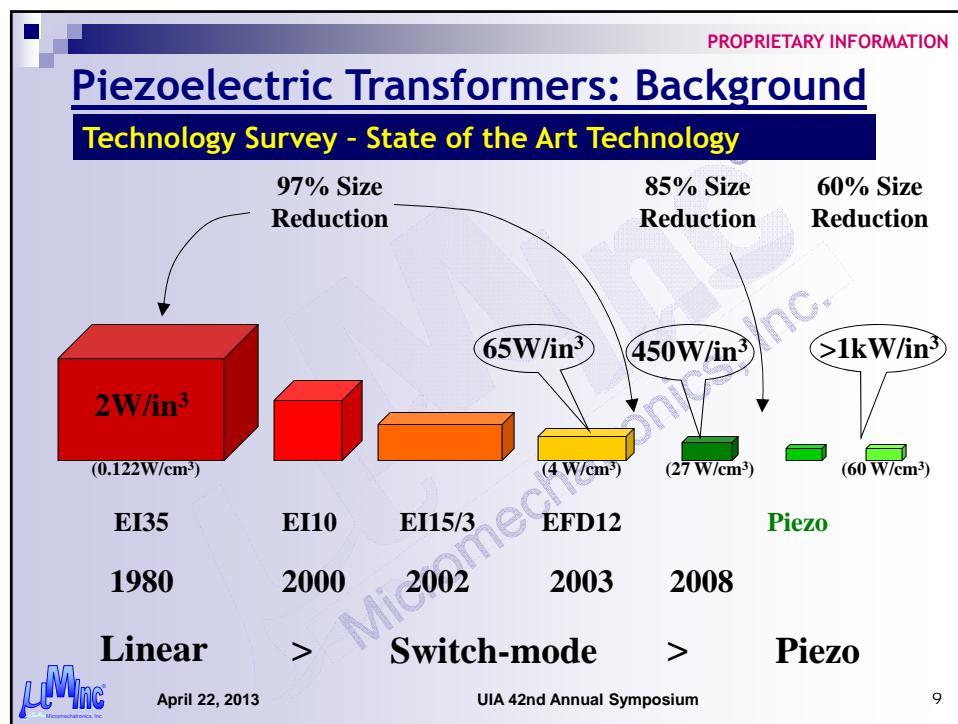


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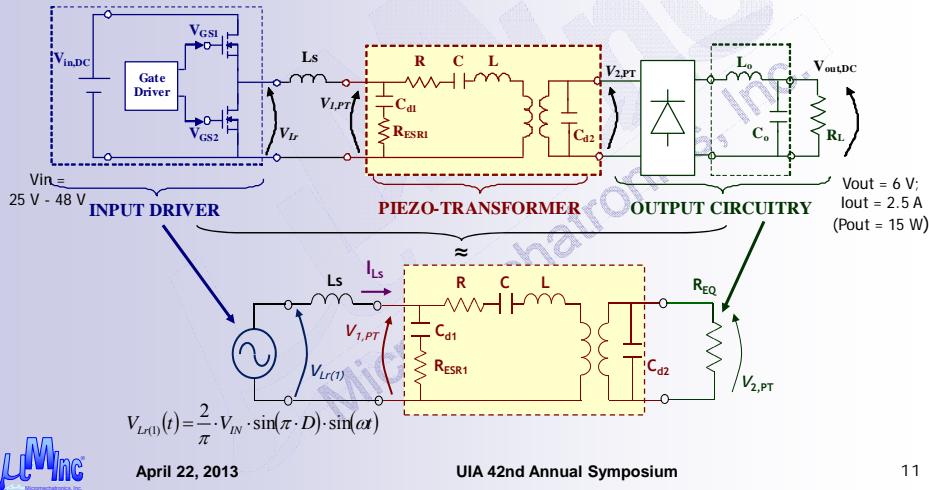
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Design Process

Obtain the inverter and load model

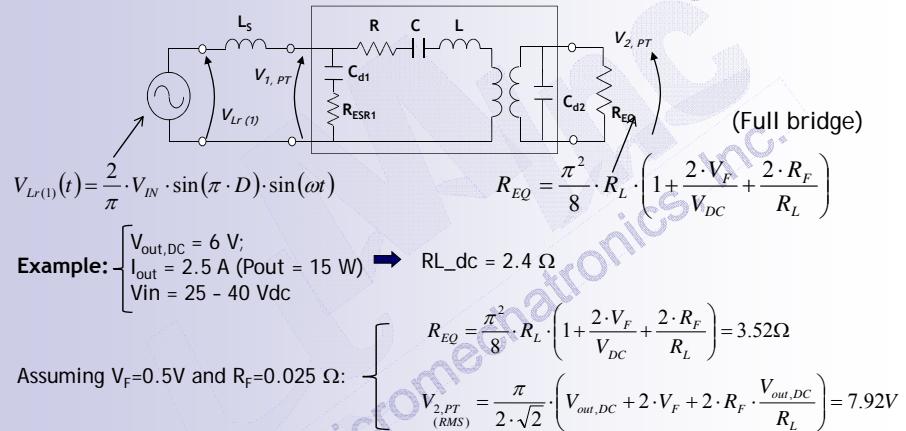
The design of a PT for an specific application involves the consideration of the input and output circuitry.



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Design Process

Equivalent circuit to determine the PT in/out specs.



The transformer parameters and transformer ratio have to ensure that this voltage can be met at the lower input voltage (in this example $V_{in_min}=25\text{Vdc}$).



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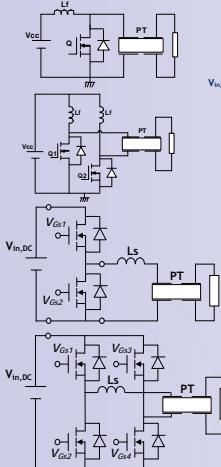
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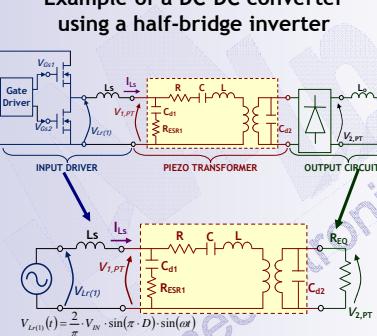
Design Process

Design of PT is application-related

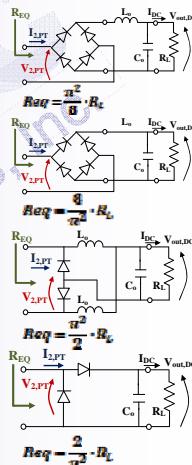
Input Drivers:



Example of a DC-DC converter using a half-bridge inverter



Output Rectifiers



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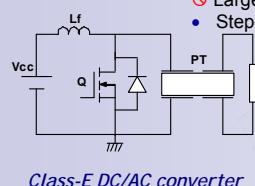
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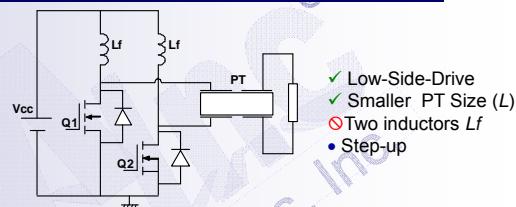
Design considerations

Driving circuit topologies for PTs

- ✓ Smaller PT Size (L)
- ✗ Large Inductor L_f
- Step-up or -down

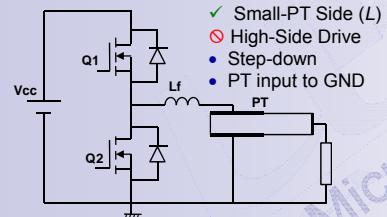


Class-E DC/AC converter

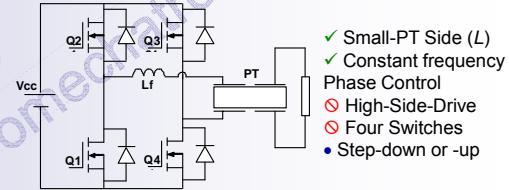


Push-pull DC/AC converter

- ✓ Low-Side-Drive
- ✓ Smaller PT Size (L)
- ✗ Two inductors L_f
- Step-up



Half-bridge DC/AC converter



Full-bridge DC/AC converter



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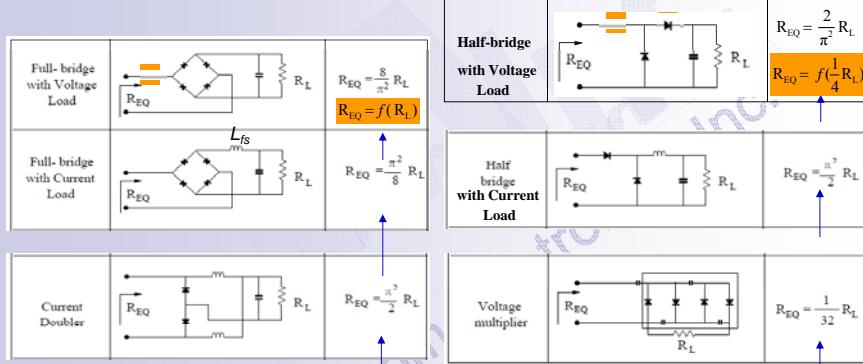
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Design considerations

Rectifying topologies for PTs



Ideal Waveform Assumption: Voltage and Current Sine or Rectangular [Steigerwald 1988]

Summary output rectifying circuits [Lin 1997]



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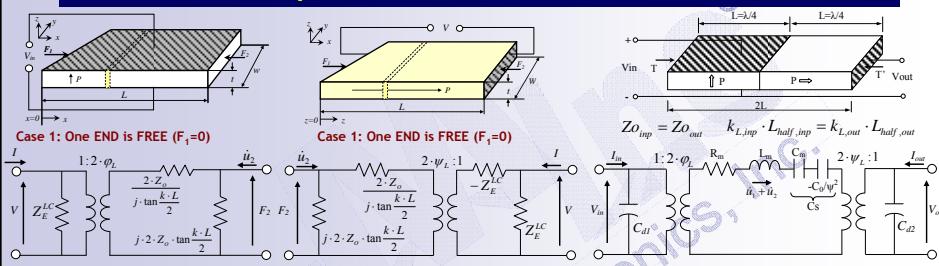
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Design considerations - 1D Eq. circuit

Rosen PT - $\lambda/2$ Operation



$$\begin{aligned}
 Z_0 &= \frac{k \cdot A}{\omega \cdot s_{11}^E} = \frac{k \cdot w t}{\omega \cdot s_{11}^E} = \sqrt{\frac{\rho}{s_{11}^E} \cdot t \cdot w} \\
 Z_o &= \frac{k \cdot A}{\omega \cdot s_{33}^D} = \frac{k \cdot t \cdot w}{\omega \cdot s_{33}^D} = \sqrt{\frac{\rho}{s_{33}^D} \cdot t \cdot w} \\
 Z_E^{LC} &= \frac{1}{j \cdot \omega \cdot s_{33}^T \cdot (1 - k_{31}^2) \cdot w \cdot L} = \frac{1}{j \cdot \omega \cdot C_{d1}} \\
 Z_E^{LC} &= \frac{L}{j \cdot \omega \cdot s_{33}^T \cdot (1 - k_{31}^2) \cdot t \cdot w} = \frac{1}{j \cdot \omega \cdot C_{d2}} \\
 (v_L^E)^2 &= \frac{1}{\rho \cdot s_{11}^E} \quad \varphi_L = \frac{w \cdot d_{31}}{s_{11}^E} \\
 (v_L^D)^2 &= \frac{1}{\rho \cdot s_{33}^D} \quad \psi_L = \frac{g_{33}}{\omega \cdot s_{33}^D} \cdot \frac{1}{Z_E^{LC}} \\
 k_{31}^2 &= \frac{d_{31}^2}{s_{11}^E \cdot s_{33}^T} \quad k_L = \frac{\omega}{v_L^E} = \frac{2\pi}{\lambda} \\
 k_{33}^2 &= \frac{d_{33}^2}{s_{33}^E \cdot s_{33}^T} \quad k_L = \frac{\omega}{v_L^D} = \frac{2\pi}{\lambda} \\
 \epsilon_{33}^{LS} &= \epsilon_{33}^T \cdot (1 - k_{31}^2)
 \end{aligned}$$



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Design considerations - 1D Eq. circuit

Determination of the PT parameters - Motional Branch

The transformer is designed to provide maximum efficiency at the nominal load.

This is achieved by selecting C_{d2} as:

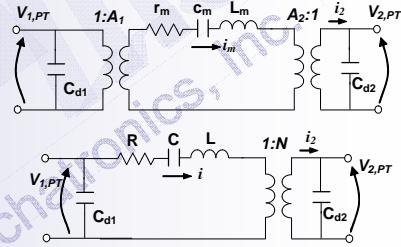
$$C_{d2} = \frac{1}{\omega_{res} \cdot R_{EQ}}$$

The vibration velocity is equal to the motional current in the equivalent circuit, thus:

$$i_m = v = \frac{\sqrt{P_{out,DC} \cdot 2 \cdot \omega_{res} \cdot C_{d2}}}{A_2}$$

The number of secondary layers n_{out} and the force factor of the secondary section, A_2 , are obtained using the following equations (case of radial PT):

$$A_2 = \frac{n_{out} \cdot 2\pi \cdot r \cdot d_{31,out}}{s_{11}^E \cdot (1 - \sigma)} \quad R = \frac{r_m}{A_1^2} \quad L = \frac{L_m}{A_1^2} \quad C = c_m \cdot A_1^2 \quad N = \frac{A_1}{A_2}$$



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Design considerations - 1D Eq. circuit

Material Characteristics limit the Power Density

Depends on PT material, mode of operation, transformer design

$$i_m = v = \frac{\sqrt{P_{out,DC} \cdot 2 \cdot \omega_{res} \cdot C_{d2}}}{A_2}$$

Given by application needs

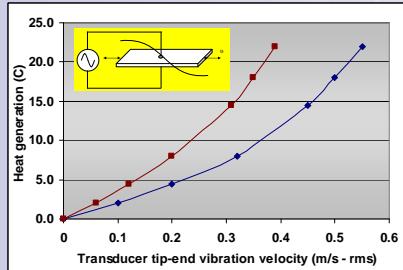
The number of secondary layers n_{out} and the force factor of the secondary section, A_2 , are obtained using the following equations (iterative process):

$$A_2 = \frac{n_{out} \cdot 2\pi \cdot r \cdot d_{31,out}}{s_{11}^E \cdot (1 - \sigma)}$$

$$t_{2,layer} = \varepsilon_{33}^T \cdot (1 - k_{p,out}^2) \cdot \frac{\pi \cdot r^2 \cdot n_{out}}{C_{d2}}$$

$$t_2 = t_{2,layer} \cdot n_{out}$$

Manufacturing Limit



The value of the maximum vibration velocity is selected such as the temperature increase of the transformer does not exceed 25-30 °C.



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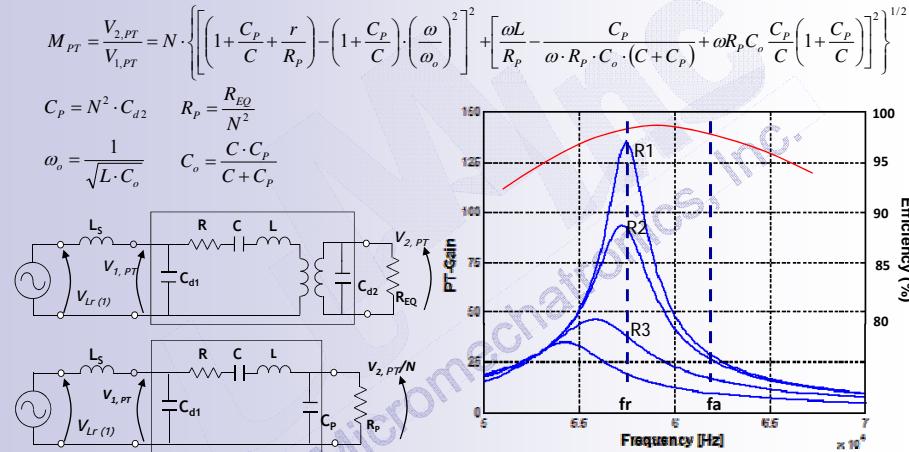
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Design Process

PTs are frequency and load dependent devices



Simplified Equivalent Circuit of a PT Control above-resonance (inductive window)



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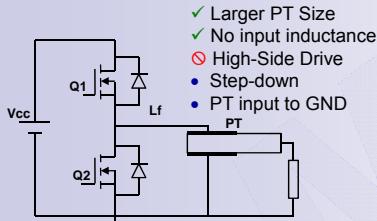
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Design considerations

Inductor-Less Resonant Topologies



CIT Challenge Award (ELC-99-007) "Transoner Characterization", Virginia Tech, founded by Face Electronics, Virginia, USA. 1998

CIT Challenge Award (ELC-00-006) "Linear Ballast Development", Virginia Tech, founded by Face Electronics, Virginia, USA. 2000

R.-L. Lin, "Piezoelectric Transformer Characterization and Application of Electronic Ballast," PhD Thesis, Virginia Tech, USA 2001.

S.Bronstein and S. Ben -Yaakov, "Design considerations for achieving ZVS in a half bridge inverter that drives a piezo transformer with no series inductor", IEEE APEC 2002.

M.Sanz, P.Alou, R.Prieto, J.A.Cobos, J.Uceda, "Comparison of different alternatives to drive piezo transformers, IEEE APEC 2002.



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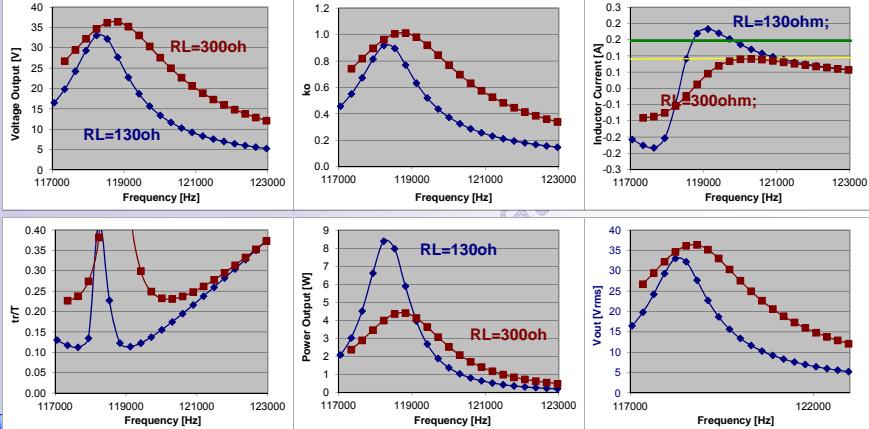
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Design considerations

Inductor-Less Resonant Topologies

- The PT must be designed specifically to meet inductor-less.
- Very narrow input voltage control and output load control (use for fixed V_{in} and R_{load} applications)
- The lack of input inductance increases the size of the PT.



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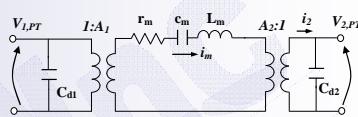
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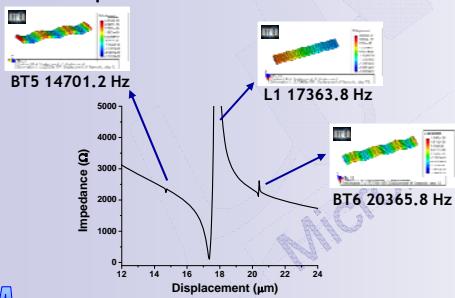
Design considerations - FEA Simulation

Advanced Modeling - FEA

Equivalent circuits allow the optimization regarding efficiency, transfer ratio, load operation and integration with input and output circuitry. The equivalent circuit relates the size, material properties, and operation mode w/ the lumped elements in the eq. circuit.



FEA simulation is in general required to optimize the PT design. This is specially relevant in higher harmonic modes operation, as the coupling coefficient is strongly affected by the geometry and other modes. This is not easily determined w/ the equivalent circuit. FEA software include: ATILA, ANSYS, Piezo Plus, Femtet.



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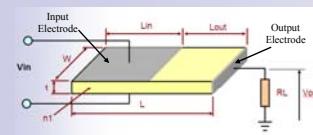
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Design considerations - FEA Simulation

Modeling and Design



Example of a FEA parameter optimization using FEA software.

Modal Analysis of the PT structure to determine spurious resonances (open circuit) determined by FEA simulation



BT4 10.080 kHz

T3 10.245 kHz

BW2 13.262 kHz



Main mode of operation λ/2

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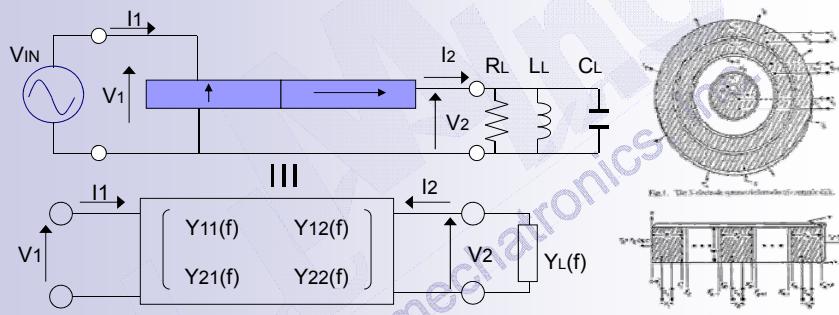
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Design considerations - FEA Simulation

The multi-impedance approach - Accurate 3D Eq. circuit

A single port or a multi-port piezo device can be exactly represented by a frequency-dependent matrix for ALL the frequencies.



If a load YL is connected in the output: $I2(f) = -V2(f) * YL(f)$

$$\text{Voltage Gain: } \frac{V2(f)}{V1(f)} = \frac{-Y21(f)}{Y22(f) + YL(f)}$$



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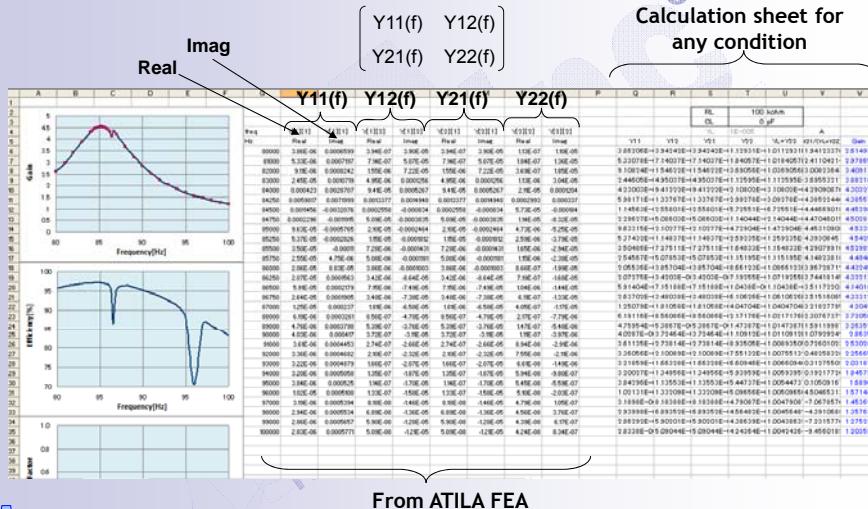
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Design considerations - FEA Simulation

The multi-impedance approach - Accurate 3D Eq. circuit



From ATILA FEA



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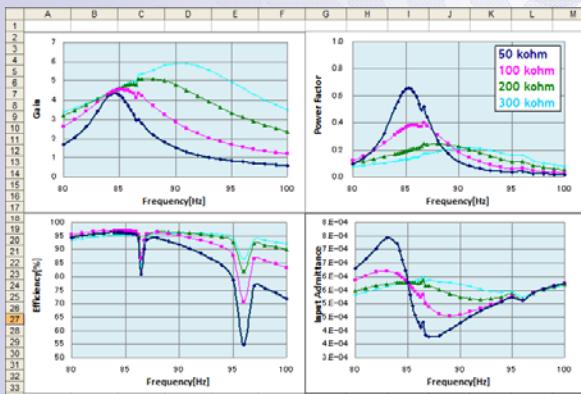
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Design considerations - FEA Simulation

The multi-impedance approach - Accurate 3D Eq. circuit

Once the multi-impedance is obtained, calculation of any load condition will take just seconds.

The impedance matrix can be used in PSpice to have a "3D" equivalent model of the PT as accurate as the FEA simulation is.



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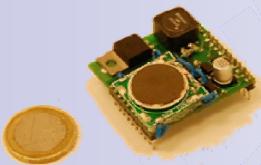
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Piezoelectric Transformers: Applications

LCD Backlighting, Power Converters, Fluorescent Ballasts

 <p>5W DC/AC (Vin: 8-20V; Out: 500-800V) CCFL Backlighting. (>10Million/Year worldwide)</p>	 <p>15W Automotive LED Driver (Vin: 6-20V; Vout:15V)</p>	 <p>110V/32W Piezoelectric Fluorescent Ballast</p>
 <p>3W AC/DC Power Supply (Vin: 80-450V; Out: 6V) Cellular Phone Battery Charger. Off-line LED driver</p>	 <p>35W AC/DC Converter (Vin: 80-450V; Vout:20V) Laptop Adapter</p>	 <p>110V/15W Compact Fluorescent Lamp (CFL) Ballast</p>
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Commercial Step-up Piezo Converters

Piezo-Inverters for CCFL Backlighting: M1-Inverter

<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">SPECIFICATIONS - M1 Inverter</th> </tr> </thead> <tbody> <tr> <td>Size (mm)</td> <td>80.33 x 15.75 x 4.75</td> </tr> <tr> <td>Main Power</td> <td>8 - 20 VDC</td> </tr> <tr> <td>Operating Frequency</td> <td>51-53 kHz</td> </tr> <tr> <td>Start-up Voltage at 25°C</td> <td>1.3-1.7 kVrms</td> </tr> <tr> <td>Running Voltage</td> <td>615 Vrms</td> </tr> <tr> <td>Audible noise (*)</td> <td>< 35dB</td> </tr> <tr> <td>Frequency PWM dimming</td> <td>200-220Hz</td> </tr> <tr> <td>Brightness control PWM %</td> <td>21% to 100%</td> </tr> <tr> <td>Rise/Fall time of I_{lamp} in burst mode</td> <td>150 μs</td> </tr> <tr> <td>Shock and Vibration (3-axis)</td> <td>50 G's</td> </tr> <tr> <td>MTBF</td> <td>50,000 hours</td> </tr> </tbody> </table>	SPECIFICATIONS - M1 Inverter		Size (mm)	80.33 x 15.75 x 4.75	Main Power	8 - 20 VDC	Operating Frequency	51-53 kHz	Start-up Voltage at 25°C	1.3-1.7 kVrms	Running Voltage	615 Vrms	Audible noise (*)	< 35dB	Frequency PWM dimming	200-220Hz	Brightness control PWM %	21% to 100%	Rise/Fall time of I_{lamp} in burst mode	150 μ s	Shock and Vibration (3-axis)	50 G's	MTBF	50,000 hours	<p>Features</p> <ul style="list-style-type: none"> ▪ Inherent High gain at no load, that provides the lamp ignition voltage. ▪ Load dependent gain that avoids the use of ballast capacitor in series with the CCFL lamp. ▪ Absence of leakage magnetic field. ▪ High Q factor, that gives low distorted sinusoidal lamp current waveform. ▪ Small size and weight. ▪ High reliability due to the absence of a high voltage secondary winding.
SPECIFICATIONS - M1 Inverter																									
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 Q-16 Inverter  M1-Inverter																									
 Apple G4 Powerbook																									
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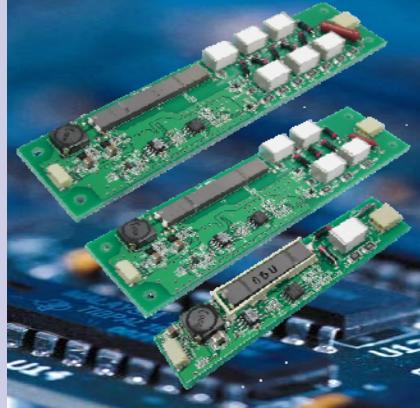
Ultrasonic Piezoelectric Transformers for Power Conversion

PROPRIETARY INFORMATION

Commercial Step-up Piezo Converters

Word First Piezoelectric DC-DC Converters

High Voltage Piezoelectric DC-DC Converters



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Word First Piezoelectric DC-DC Converter

Micromechatronics, Inc. introduces the word first High Voltage Piezoelectric Converter product line. Three new reference DC-DC converters are introduced: 2kVdc/4W; 5kVdc/5W; 10kVdc/5W. The converters are operated under input voltages of 8 to 14Vdc. The converters are fully regulated against changes of the input voltage and the output load. Furthermore, the output voltage can be programmed from 0 to 100% through a control 0 - 2.1V control pin.

This new technology uses magnetic-less, low profile, high efficiency and high power density high voltage piezoelectric transformers. Developed through many years of research, development and commercialization of piezoelectric technology, the new converters provide the most compact and efficient high voltage converting solution.

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PROPRIETARY INFORMATION

Commercial Step-up Piezo Converters

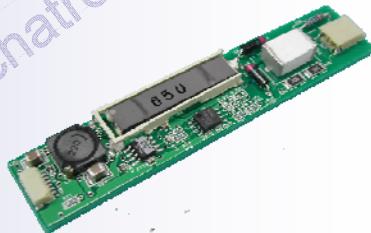
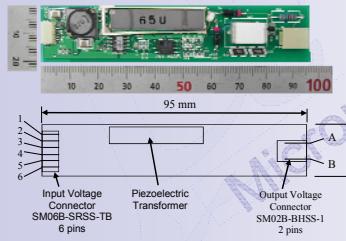
2 kV - 4W DC-DC Piezoelectric Converter

SPECIFICATIONS

PRODUCT	RESULTS
Size	95 mm x 19 mm (w/ connectors)
Input Voltage (DC)	8 V to 14 V
Output Voltage (DC)	2 kV
Max Output Power (W)	4 W
Max. Efficiency (%)	> 82 %
Control voltage	0 to 2.1 V to reach 0 to 2 kV

Features

- Miniature, Surface Mount Construction
- Use of Magnetic-less Transformer Technology
- Output Power: 4 W max
- Wide Input Voltage Regulation (8 Vdc to 14 Vdc)
- 0 to 100% Output Programmable
- Output Short Circuit and Over Voltage Protected
- Operating Temperature: -25 °C to +70 °C
- Low Ripple: 0.1 % of Vout at full Vout
- High Efficiency > 80 %
- Programming Voltage: 0 to 2 Vdc
- Input and Output Connectors for easy plug and play integration.

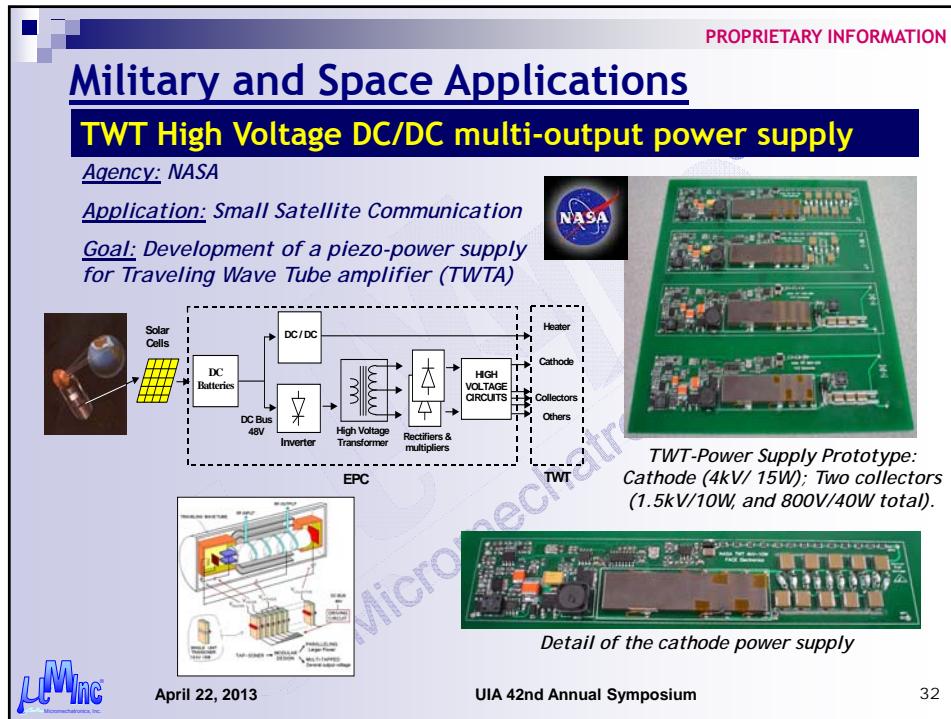
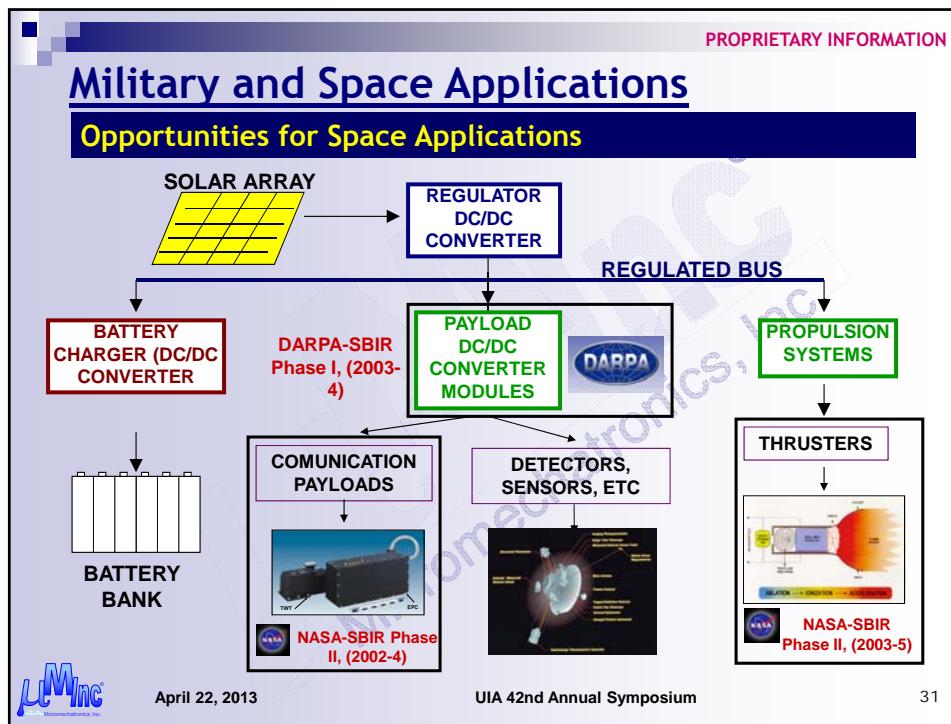


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Ultrasonic Piezoelectric Transformers for Power Conversion



Ultrasonic Piezoelectric Transformers for Power Conversion

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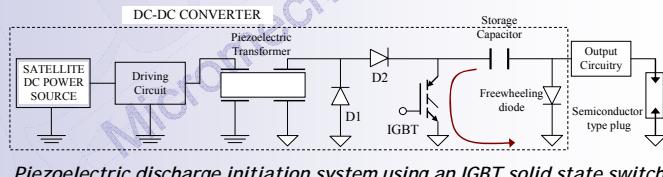
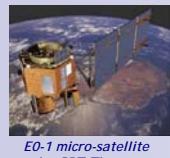
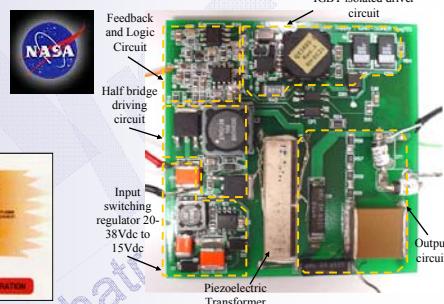
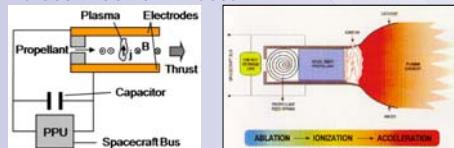
Military and Space Applications

Pulsed Plasma Thruster Discharge Initiation (DI) System

Agency: NASA

Application: Small Satellite Propulsion

Goal: Integrated high reliability
discharge initiation (DI) system for a
Pulsed Plasma Thruster



EO-1 micro-satellite
using PPT Thrusters

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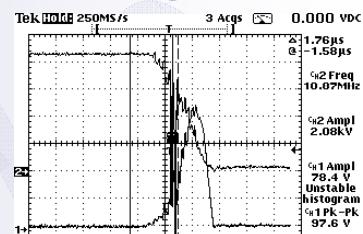
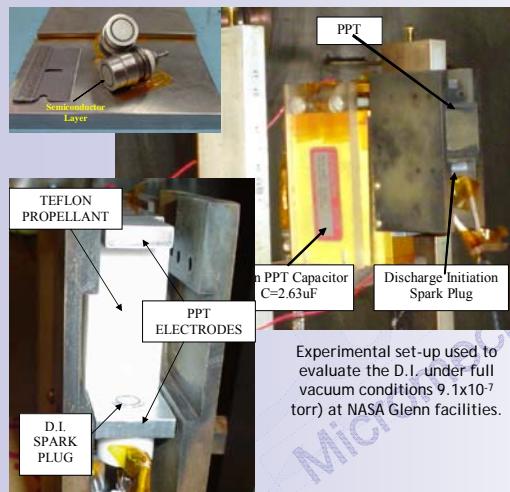
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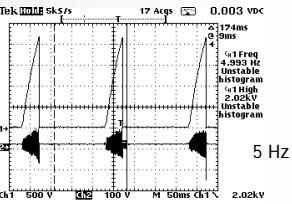
PROPRIETARY INFORMATION

Military and Space Applications

Pulsed Plasma Thruster Discharge Initiation (DI) System



Discharge current: 78.4 Apk (CH2);
Discharge voltage: 2.08 kV (CH1)



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Ultrasonic Piezoelectric Transformers for Power Conversion

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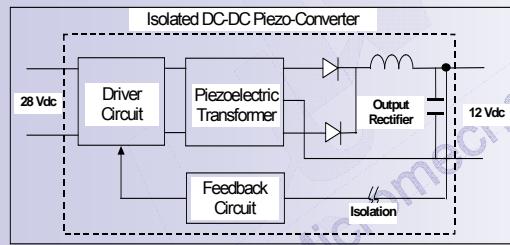
Military and Space Applications

DC/DC Piezo Converter for Satellite Power Bus

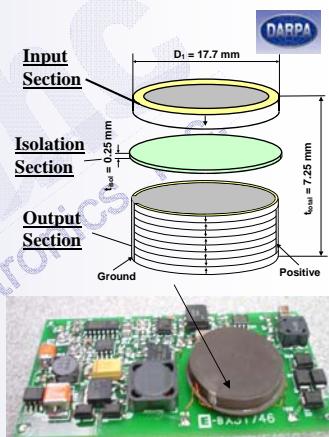
Developed for DARPA under a SBIR contract

Application: Small Satellite Point of Load converter

Goal: Replacement of magnetic transformer by more efficient, compact and powerful piezoelectric transformers



DC-DC piezo-converter developed by Face



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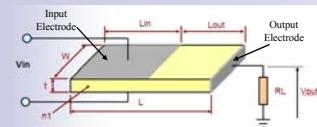
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PROPRIETARY INFORMATION

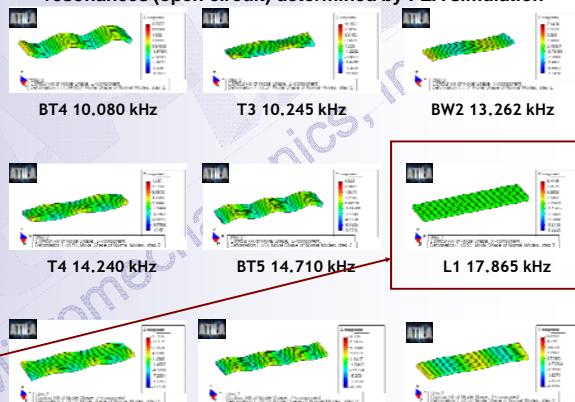
Ultra High Voltage DC-DC Piezo-converters

100kV/20W Piezo Supply for Neutron Source Generator

Modal Analysis of the PT structure to determine spurious resonances (open circuit) determined by FEA simulation



Single layer design structure and parameters used to optimize the PT



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Ultrasonic Piezoelectric Transformers for Power Conversion

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Ultra High Voltage DC-DC Piezo-converter

100kV/20W Piezo Supply for Neutron Source Generator

Results of the modal analysis for the new very high voltage PT (fr = resonance frequency; fa = antiresonance frequency; keff = effective coupling coefficient)

ATILA	L x W1 x H			L x W2 x H			L x W3 x H		
	fr	fa	keff	fr	fa	keff	fr	fa	keff
BT4	10.015	10.015	0.88	10.068	10.068	1.24	10.100	10.100	0.87
T3	12.853	12.853	0.00	10.241	10.241	0.00	8.602	8.602	0.00
BW2	10.842	10.842	0.00	13.083	13.083	0.00	14.750	14.750	0.00
T4	17.349	17.775	0.72	14.236	14.236	0.00	12.175	12.175	0.00
BT5	14.638	14.638	15.94	14.705	14.706	0.72	14.700	14.700	0.00
L1	17.349	17.574	15.00	17.345	17.766	21.65	17.339	17.754	21.50
T5	22.625	22.625	0.00	18.735	18.735	0.00	16.390	16.390	0.00
BT6	20.331	20.333	1.51	20.398	20.400	1.50	19.726	19.727	1.08
BW3	18.833	18.833	0.00	21.846	21.846	0.00	23.860	23.860	0.00

The stronger vibration mode to allow energy conversion is the longitudinal mode, L1. When the width size is W1, the Bending Transversal BT5 mode is very close from the longitudinal, thus decreasing its coupling coefficient. By modifying the width size to W2 and W3, it is possible to separate the BT5 spurious mode and improve the coupling of the longitudinal mode, which is the one of interest.



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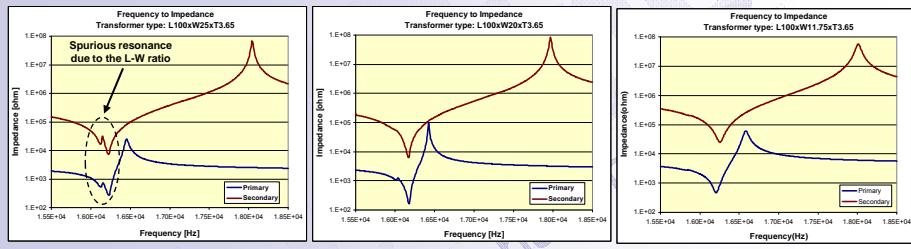
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PROPRIETARY INFORMATION

Ultra High Voltage DC-DC Piezo-converter

100kV/20W Piezo Supply for Neutron Source Generator

6kV/20W High Voltage Single Layer Piezo Transformers - Testing



Single Layer PTs prototypes



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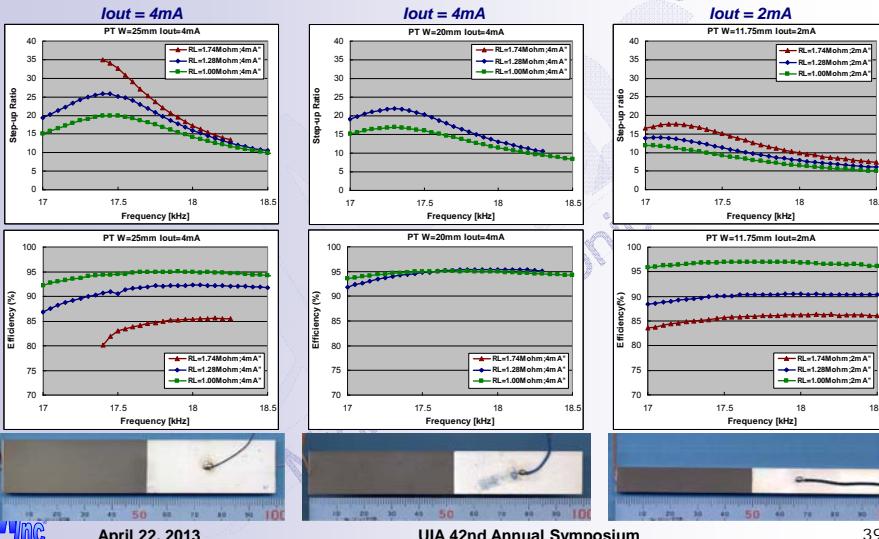
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Ultrasonic Piezoelectric Transformers for Power Conversion

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Ultra High Voltage DC-DC Piezo-convertisers

100kV/20W Piezo Supply for Neutron Source Generator



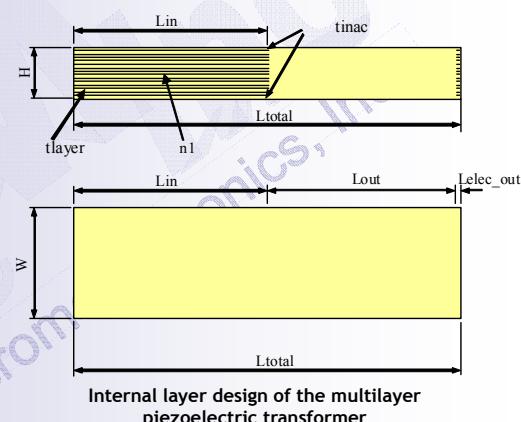
Ultra High Voltage DC-DC Piezo-convertisers

100kV/20W Piezo Supply for Neutron Source Generator

6kV/20W High Voltage Multi-Layer Piezo Transformers

Single layer piezoelectric design reduces the prototyping time and allows a very early confirmation of the validity of the FEA simulation results. However, single layer design requires a very high input voltage (200-500Vrms in our case).

MULTILAYER PIEZOELECTRIC TRANSFORMERS were designed and manufactured following the external size of the single layer tested to reduce the input voltage requirements to a “battery-level voltage”.



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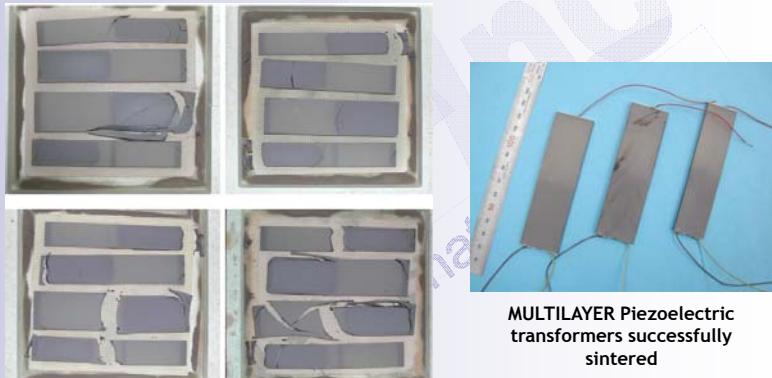
Ultrasonic Piezoelectric Transformers for Power Conversion

PROPRIETARY INFORMATION

Ultra High Voltage DC-DC Piezo-converter

100kV/20W Piezo Supply for Neutron Source Generator

6kV/20W High Voltage Multi-Layer Piezo Transformers



MULTILAYER Piezoelectric
transformers successfully
sintered

Different manufacturing batches needed to optimize the
sintering process. Due to the length of the unit, very long
co-firing process was required before successful sintering.



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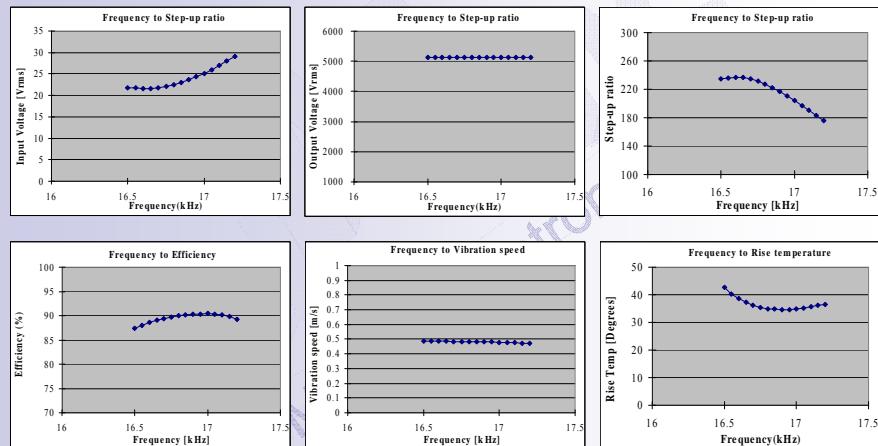
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Ultra High Voltage DC-DC Piezo-converter

100kV/20W Piezo Supply for Neutron Source Generator

Characterization Multilayer PTs Sample: P=20W / Vout=5kV



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Ultrasonic Piezoelectric Transformers for Power Conversion

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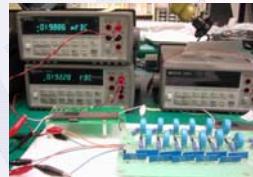
Ultra High Voltage DC-DC Piezo-converters

100kV/20W Piezo Supply for Neutron Source Generator

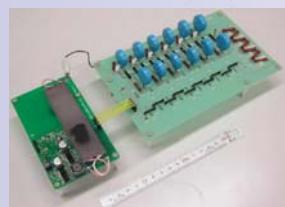
Construction and Testing of the DC-DC Converter



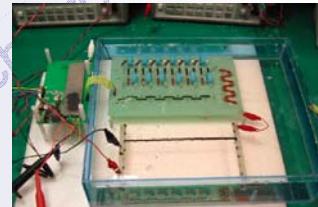
Output multiplier circuit



Testing the PT with the output circuit



DC-DC Converter before encapsulation



Test in dielectric oil bath under different load



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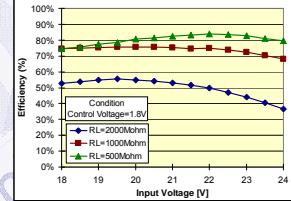
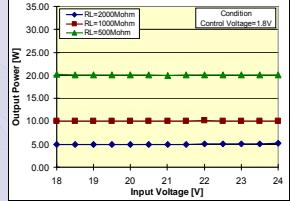
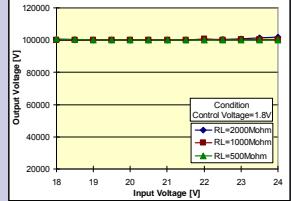
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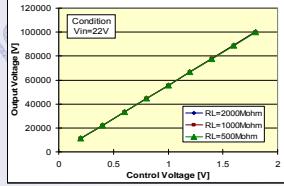
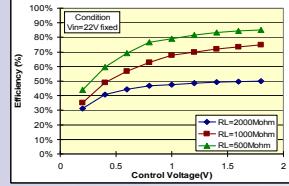
Ultra High Voltage DC-DC Piezo-converters

100kV/20W Piezo Supply for Neutron Source Generator

Operation characteristics of the 100 kV ultra high voltage power supply



Voltage control characteristic under a fix input voltage of 22 V



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Ultrasonic Piezoelectric Transformers for Power Conversion

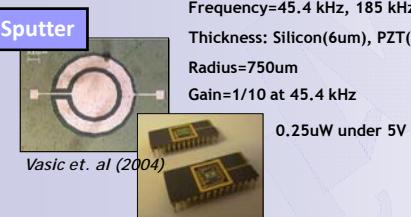
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Piezoelectric Transformers: Trends

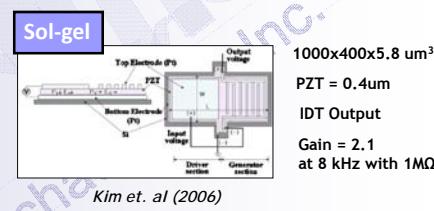
"Small PTs" - Sub 0.5-mm³ structures - MEMS-based PTs

MEMS-PTs are still at feasibility demonstration level. Developments are mainly toward manufacturing issues rather than performance evaluation. Many designs are operating at "low" frequencies in a bending mode (very inefficient). Multilayer Process is still an issue to resolve. Interdigital electrodes has been used in some structures.

Sputter



Sol-gel



Aerosol



Floating Structure
Operation in contour mode
Higher Efficiency



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